



# HAL FUND SERVICES IRELAND

## INVESTOR RIGHTS POLICY

VERSION CONTROL					
Date Of Creation	Date of HALFSI Board Approval	Version	Material Changes	Owner	Individual
9/9/2024	December 2024	1.0	Rewrite of policy to encompass local and Group policies	Head of Operations	Laura O'Doherty



## **1. INTRODUCTION**

This is a summary of rights afforded to you as an investor whose name appears on the share/unit register of the relevant fund under management (“**FuM**”) as managed by HAL Fund Services Ireland Limited (“**HALFSI**”). Should you require any further information relating to the terms of your investment, please refer to the prospectus and the constitutive document (collectively “**Governing Documents**”) of the relevant FuM, which may be obtained or requested via the HALFSI website or relevant application form which may be obtained from the FuM Administrator.

## **2. ENTITLEMENT TO RECEIVE CERTAIN INFORMATION RELATING TO YOUR INVESTMENT**

As an investor, a copy of the latest annual and, if available, semi-annual financial statements of the relevant FuM will be accessible on our website or made available to you on request and free of charge by contacting the relevant FuM sub-investment manager and/or FuM Administrator. The latest net asset value per share/unit of the relevant FuM is also available on request from the administrator during normal business hours. The CIS governing documents may also be available from the website of the relevant investment manager and a paper copy can be delivered to you on request and free of charge from the administrator.

For investors in alternative investment funds (“**AIFs**”) specific information such as AIFMD investor disclosure documentation, which may be part of the Governing Documents, and more ad hoc information on any additional rights granted to other investors in the same AIF, as applicable, may be obtained upon written request. As an investor, your right of information shall be guaranteed and any material changes to certain information contained in the prospectus provided to you before you invested shall be notified to you in accordance with applicable regulatory requirements.

## **3. ENTITLEMENT TO REDEEM YOUR INVESTMENT AND RECEIVE DIVIDEND DISTRIBUTIONS**

Investors are entitled to redeem their investment and receive the redemption proceeds relating to their shares/units within the timeframe and subject to such conditions as detailed in the Governing Documents. Investors, to the extent they invested into the appropriate share/unit class(es) of the relevant fund, may also be entitled to receive dividend distributions, within the timeframe and subject to such conditions as detailed in the governing documents, if applicable.

## **4. VOTING RIGHTS AND RELATED MATTERS**

Save to the extent that an investor has chosen to invest in shares/units which do not carry voting rights, an investor has the right to vote (whether in ordinary or



extraordinary general meeting or, where so permitted under the constitutive documents of the relevant CIS/AIF by way of a written resolution) on resolutions relating to the relevant collective investment scheme, sub-fund or share/unit class thereof in accordance with the conditions set down in the applicable . Investors shall also be entitled to be treated fairly and equally, within the timeframe and subject to such conditions as detailed in the prospectus and constitutive documents of the relevant collective investment scheme, in case of liquidation of the relevant FuM, sub-fund or share/unit class they are invested into.

## **5. ENTITLEMENT TO MAKE A COMPLAINT**

As an investor, you are entitled to file a complaint free of charge. Any such complaint must be handled by HALFSI or its delegates promptly and effectively.

## **6. INVESTOR RIGHTS AGAINST THE RELEVANT FUM AND ITS SERVICE PROVIDERS**

As an investor, you have a right of action against the relevant FuM of which you are an investor for any breach of contract. Investors do not have any direct contractual rights against any service provider appointed in respect of the FuM because of the absence of a direct contractual relationship between investors and such service provider. Instead, the relevant FuM and/or HALFSI, as applicable, has a right of action to pursue a service provider in respect of an alleged contractual breach or other perceived wrongdoing. An investor has a regulatory right of action to pursue the depositary which has been appointed by the relevant FuM in respect of (i) any loss of an asset held in the custody of such depositary or any of its delegates or (ii) of any other losses caused by such depositary's negligent or intentional failure to properly fulfil its obligations under applicable regulations provided that this does not lead to a duplication of redress or to unequal treatment of investors in the FuM

## **7. REPRESENTATIVE ACTIONS UNDER IRISH LAW**

A collective redress mechanism by consumers in respect of infringements of applicable Irish or EU laws is available under the Representative Actions for the Protection of the Collective Interests of Consumers Act 2023 which transposes Directive (EU) 2020/1828 into Irish law. Further information on this collective redress mechanism is available from Representative Actions Act - DETE ([enterprise.gov.ie](http://enterprise.gov.ie))

## **8. GENERAL**

Any person who would like to receive further information regarding the strategy followed for the exercise of voting rights of the Fund, the conflict of interest policy, the best execution policy and the complaints handling procedure of HALFSI can find these on the website. It should be noted that this summary addresses rights conferred on investors under the applicable legislation governing the operation of the collective investment scheme in which you are invested. You may also be afforded rights under other legislation or regulatory frameworks which are not addressed above.